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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gopal Kakivaya et al.

Serial No.: 10/016,900

Filing Date: December 14, 2001

For: SYSTEM AND METHODS FOR GENERALIZED AND DISTRIBUTED
SCALABLE EVENTING SYSTEM

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

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DATE OF DEPOSIT: 1/22/02
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TYPED NAME: Michael J. Swope
REGISTRATION NO.: 38,041

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on

the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with the first or second After Final Submission, therefore:
 - Certification in Accordance with §1.97(e) is attached; or
 - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
 - Certification in Accordance with §1.97(e) is attached; or
 - The fee of \$180.00 as set forth in §1.17(p) is attached.
- In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
 - In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not

enclosed herewith.

- In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed
- If any of the foregoing publications are not available to the Examiner,

Applicant will endeavor to supply copies at the Examiner's request.

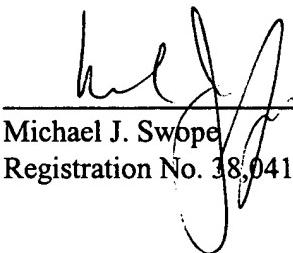
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The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Date: 1/22/02


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Sheet 1 of 1

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| Form PTO-1449 Modified | | Docket No. MSFT-0735 | Serial No. 10/016,900 |
| List of Patent and Publications Cited by Applicant (Use several sheets if necessary) | | Applicant Gopal Kakivaya et al. | |
| U.S. Department of Commerce Patent and Trademark Office | | Filing Date December 14, 2001 | Group Not yet assigned |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
| | AA | Carzaniga, A. et al., "Design and Evaluation of a Wide-Area Event Notification Service," <i>ACM Transactions on computer Systems</i> , 2001, 19(3), 332-383 | |
| | AB | Fabret F. et al., "Filtering Algorithms and Implementation for Very Fast Publish/Subscribe Systems," <i>Sigmod Record</i> , 2001, 30(2), 115-126 | |
| | AC | Xiaobing, Q. et al., "Applying Object-Orientation and Component Technology to Architecture Design of Power System Monitoring," <i>Conference Paper (PA)</i> , 2000 | |
| | AD | Journal Paper (JP), "Continual Queries for Internet Scale Event-Driven Information Delivery," July-August, 1999 | |
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